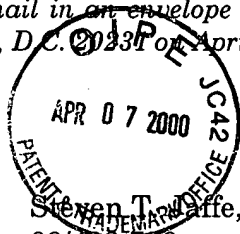


2713

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 4, 2000.



Marianne Fauth
Signature
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APR 11 2000
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Applicant : Steven T. Caffe, et al.
Application No. : 09/433,730
Filed : November 3, 1999
Title : DUAL MODE QAM/VSB RECEIVER
Grp./Div. : 2713
Examiner : Not Yet Assigned

Docket No. : 33754/JWE/B600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
April 4, 2000

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed.

The submission of the above-described documents does not constitute an admission that any of them are valid prior art against the claims of the present application. That is, applicant reserves the right to antedate or otherwise remove the documents as references.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the

Application No. 09/433,730

application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALPERN

By John W. Eldredge
John W. Eldredge
Reg. No. 37,613
626/795-9900

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APR 1 2000

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Enclosures: PTO-1449, w/references